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DOCKET NO.: P00743.70001.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Erik R. Deutsch, et al.  
Serial No: 09/975,169  
Filed: October 11, 2001  
For: ACTUATABLE DIFFRACTIVE OPTICAL PROCESSOR  
Confirmation. No.: 9099  
Examiner: Not Yet Assigned  
Art Unit: 2871

*Handwritten:* 18/10/03 # 11-7-03

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 25<sup>th</sup> day of April, 2003.

*Robert Lescure*  
\_\_\_\_\_  
Signature

Commissioner For Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Erik R. Deutsch, et al., Applicant(s)*

By: *Jeffrey B. Powers*  
\_\_\_\_\_  
Jeffrey B. Powers, Reg. No.: 45,021  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617)720-3500

Docket No. P00743.70001US  
Date: April 25, 2003  
xNDDx



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\_\_\_\_\_  
Signature

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

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The Applicant would like to bring to the Examiner's attention the enclosed International Preliminary Examination Report from corresponding International PCT application number PCT/US02/07150. The examination report is dated April 10, 2003.

PART V: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Stephen D. Senturia, Applicant*

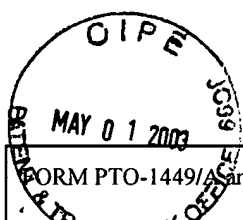
By: 

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Docket No. P00743.70001.US

Date: April 25 2003

**XNDDX**



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/975,169	ATTY. DOCKET NO.: P00743.70001.US
		FILING DATE: October 11, 2001	CONFIRMATION NO.: 9099
		APPLICANT: Erik R. Deutsch, et al.	
		GROUP ART UNIT: 2871	EXAMINER: Not Yet Assigned
Sheet		of	

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	1	6,251,842	B1	Gudeman	06/26/2001
	2	6,141,139		Furlani et al.	10/31/2000
	3	6,108,117		Furlani et al.	08/22/2000
	4	6,004,912		Gudeman	12/21/1999
	5	5,233,456		Nelson	08/03/1993
	6	5,202,785		Nelson	04/13/1993
	7	4,252,697		Hashizume et al.	02/24/1981

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	8	EP	1 014 143	A1	Eastman Kodak Company	06/28/2000	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

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EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).